

## Funding opportunity title

<p>PCIEERD Grants-in-Aid (GIA) Program Call for Proposals for CY2021/2022 Funding</p> <ol style="list-style-type: none"> <li>1. Infrastructure Development Program (IDP) – 2022</li> <li>2. Human Resources Development Program (HRDP) – 2021</li> <li>3. Good Governance through Data Science and Decision Support System (GODDESS) – 2021/2022</li> <li>4. Regional Research Institution (RRI) – 2021/2022</li> </ol>
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## Key information

Type of funding	Grant
Funding Agency	DOST-Philippine Council for Industry, Energy and Emerging Technology (DOST-PCIEERD)
Co-funder(s) <i>External agencies</i>	n/a
Add link to start the application	DOST Project Management Information System (DPMIS): <a href="http://dpmis.dost.gov.ph">http://dpmis.dost.gov.ph</a>

## Timeline

Event	Date	Time
Opening date	July 7, 2021	8:00 A.M.
Closing date	August 6, 2021	5:00 P.M.
Evaluation Period	IDP – August 7 – September 29, 2021  HRDP – August 7 – September 3, 2021	
Start of projects	IDP – October 2021 / January 2022 HRDP – September 2021	

## Overview

The Philippine Council for Industry, Energy and Emerging Technology Research and Development (PCIEERD) of the Department of Science and Technology (DOST) is ready to accept applications/proposals under its Human Resources Development Program (HRDP) for 2021 and Infrastructure Development Program (IDP) for 2022. This Call for Proposals is for PCIEERD priority areas identified in the Harmonized National R&D Agenda (HNRDA) 2017-2022.

## Opportunity summary

The Philippine Council for Industry, Energy and Emerging Technology Research and Development (PCIEERD) of the Department of Science and Technology (DOST) is ready to accept applications/proposals under its Human Resources Development Program (HRDP) for 2021 and Infrastructure Development Program (IDP) for 2022. This Call for Proposals is for PCIEERD priority areas identified in the Harmonized National R&D Agenda (HNRDA) 2017-2022.

## Who can apply

### IDP

- Any public and private universities and colleges, Research and Development Institutes (RDIs), PCIEERD R&D Consortia. Preference is given to the following PCIEERD Consortium member institutions located in the following regions:  
1st Priority : IV-A, IV-B, X, and XI  
2nd Priority: II, XIII, VI, VII and CAR  
However, this should not preclude other Consortium members to submit and application.

### HRDP

- Any Filipino who maybe students (undergraduate and graduate), researchers, engineers and faculty from any academic and research institution with proven competence may apply for GIA support of DOST-PCIEERD and its grant-giving units, provided that application/projects fall under the specific priority research areas with overall goal to benefit Filipinos.

### GODDESS

Learners or trainees under the Project SPARTA

### RRI

New researchers of the PCIEERD R&D Consortium

## What we're looking for

Proposals to be submitted and or funded under this announcement must demonstrate the advancement of Science and Technology, alignment to government's economic policy direction and fall under at least one of the DOST-PCIEERD Priority Programs identified in **Annex A**.

#### **Eligible costs**

100% of the project cost shall be covered under the Grant while the applicant's organization shall provide atleast 15% counterpart funding, in cash/in kind, except for projects involving public good. Only eligible and allowable costs may be used for counterpart fund and/or in-kind contribution (ex. utility costs, office space rental, etc.), as determined by DOST-PCIEERD. The proposal must describe how the applicant will provide the counterpart fund/in-kind contribution and the role that the Grant will play in the overall project.

The requested budget shall be itemized following the DOST Form 4-Project Line-Item Budget. The grant may cover partial or full cost of the project, both direct and indirect costs which shall include personnel services, maintenance and other operating expenses, and capital outlay that are integral part of the project. All expenditure items shall be in accordance with the Unified Account Code Structure (UACS) and relevant provisions as shown in PCIEERD Administrative Order No. 2021-001 "Revised Guidelines in the Implementation of the PCIEERD Human Resources Development Program," PCIEERD Administrative Order No. 2016-028 "PCIEERD Guidelines in the Implementation of the Infrastructure Development Program," PCIEERD Administrative Order No. 2020-002 "Guidelines on the Implementation of the GODDESS Program" and DOST Guidelines for the Grants-in-aid Program of Department of Science and Technology and its Agencies.

## How to apply

Applications should be submitted through the DOST Project Management Information system (<http://dpmis.dost.gov.ph>) from **8:00 A.M. of July 7, 2021 to 5:00 P.M. of August 6, 2021**.

It will not be possible to submit an application to the call after the time mentioned above. Proposals submitted after the deadline will not be considered. Applicants are encouraged to leave enough time for proposal inputting in the DPMIS before this date.

When submitting your application, please follow these steps:

1. Register and create an account in the DPMIS
2. Log in to your DPMIS account
2. Select Type of Proposal: Any of the following – PCIEERD IDP, HRDP, GODDESS, RRI
3. Select Classification: New Proposal

**The following will automatically be disapproved:**

- 1) Proposals from organizations that are not qualified to submit during this Call;**
- 2) Proposals outside the priority areas of the Council; and**
- 3) Proposals submitted to any other call route/Council/s.**
- 4) Proposals not submitted through DPMIS.**

## Documents Required

As a summary, your application should include the following documents.

- 1) DOST Proposal Form – Non R&D format
- 2) Supplementary Files
  - a. Letter of intent/request
  - b. Endorsement from Head of Agency and/or University enrolled in
  - c. Application form (Annex A)

Additional documents per component (Select Supplementary Files)

### **IDP**

- a. Five-year research agenda/strategic plan or equivalent
- b. List of existing faculty/laboratory personnel and their specialization
- c. List of ongoing/completed projects in the last 5 years
- d. Curriculum vitae with contact details

### **HRDP**

- a. Visiting Expert Program
  - Curriculum Vitae (CV) of the visiting expert
  - Endorsement from the head of the host/requesting institution (or at least Dean or equivalent)
  - Scheduled plan of activities
  - Terms of reference (TOR)
- b. Research attachment
  - Detailed research proposal with plan of activities
  - Acceptance from host institution (at least Dean or equivalent)
  - Curriculum Vitae (CV)
- c. Laboratory and Equipment Research Grant
  - Copy of the approved Thesis / dissertation / research proposal
  - Workplan
  - Curriculum Vitae (CV) with contact details
- d. Presentation of Scientific Outputs in Conferences, Seminars, Workshops and Fora
  - Formal acceptance of the paper for presentation from the organizer
  - Proof that conference is not predatory
  - Peer-reviewed full paper subject to quality review of technical division (for both paper and poster presentations) and e-copy of poster (for poster presentations)
  - Copy of evaluator's comments/review
  - Copy of conference/seminar/workshop programme
  - Curriculum Vitae (CV) with contact details
- e. Publication of Scientific/Research papers in Scientific/Technical Journals
  - Certification that the same paper was not submitted for a similar grant
  - Formal acceptance of the paper for publication indicating the publication fee
  - Proof that journal is an ISI or Scopus-indexed journal
  - Peer-reviewed full paper, including abstract, subject to quality review of technical division. Reviewer's comments and evaluation must also be submitted.
  - Curriculum Vitae (CV) with contact details
- f. Conduct of Conferences, Seminars, Workshops and Fora
  - Terms of Reference (TOR) for speakers, support staff, and organizing committee
  - Tentative Programs of Activities
  - SEC-registration (for Scientific/Professional organizations), for first-timers
  - Curriculum Vitae (CV) with contact details
- g. RIEETOOL

#### Attendance to Trainings

- Formal acceptance and/or invitation from the host institution/training institution (at least Department Chair) or the organizer as the case may be
- Copy of training programme
- Curriculum Vitae (CV) with contact details

#### Conduct of Group Trainings

- Tentative Programs of Activities
- Terms of Reference (TOR) for speakers, support staff, and organizing committee (for payment of honoraria)
- SEC-registration (for Scientific/Professional organizations), for first timers
- Curriculum Vitae (CV) with contact details

#### h. PROSPER

- Endorsement from the project leader of the PCIEERD-funded or –monitored project and certification that the scholarship expenses is not part of the approved project cost
- If employed, endorsement from employer indicating approval on the commitment of grantee to the scholarship grant
- Copy of contract, if employed by the project
- One-page concept paper on the proposed thesis/dissertation related to the R&D project
- Admission or acceptance letter from the DOST-SEI delivering institutions
- Certification from the Graduate school stating the applicant is not a ASTHRDP or ERDT grantee
- Transcript of records and diploma/certificate of completion
- Plan of study approved by the graduate school
- Curriculum vitae with contact details
- Birth certificate
- Medical certificate

#### i. Balik Saliksik

- Endorsment from host institution
- Curriculum vitae with contact details

#### j. GODDESS

- Proof of participation in Project SPARTA
- List of Project Team and involvement in the project
- Letter of commitment from cooperating partner/

#### k. RRI

- Letter of commitment from cooperating partner

#### Document Summaries

##### **1) DOST Proposal Format**

Applicants shall follow the **DOST GIA Proposal Format - DOST Form 3 – Non R&D** below which will be accomplished in the e-Proposal portal at [dpmis.dost.gov.ph](http://dpmis.dost.gov.ph). Instructions for submission are also available in this site. R&D Format to be used if under the Balik Saliksik program.

Example of a DOST Proposal Format: Non-R&D

- I. Project Title - Refers to the Title of the research project or activity and also HRDP component being applied for, as identified in Section A of this announcement.
- II. Project Leader- refers to applicant/proponent with contact details email/mobile number  
Agency - the Department/College/institution/organization of the Project Leader.  
Address/Telephone/Fax/Email – Contact information of the applicant/proponent

- III. Cooperating Agency/ies - May refer to the following:
- Host institution and/or mentor for Visiting Expert, Research Attachment/Fellowship, Laboratory and Research Equipment Grant
  - Name of Organizer for Presentation of Scientific Outputs in Conferences, Symposia, Fora, Seminars or Conduct of Seminars, Conferences, Workshops
  - Name of Journal for Publication of Scientific/Research Papers in Scientific/Technical Journal
  - Training institution/Provider for RIEETOOL
  - Delivering Institution or University to enroll for PROSPPER
- IV. Implementing Agency – refers to the applicant/proponent’s agency/office/ employer/sending institution  
Address/Telephone/Fax/Email: Contact information
- V. Project Duration Project Start Date / End Date - Refers to the duration and start and end dates of the activity or project being proposed
- VI. Total Project Cost - Refers to the total proposed budget being requested. The following are the entitlements per component to be indicated Maintenance and Other Operating Expenses (MOOE) / Equipment Outlay (EO):

IDP

- List down the equipment being proposed, may include highly specialized software
- Other MOOE needed for the project

HRDP

- Visiting Expert Program

Foreign	Local
Transportation expenses (most economical and direct route)	Transportation expenses (most economical and direct route)
Honoraria of PhP10,000/day	Honorarium based on DOST DBM Joint Circular No. 1, 2013, item 5.2

- Research Attachment
  - Actual travel expenses (most direct and economical route)
  - Travel insurance
  - Registration/Tuition fee
  - Living allowance or Daily subsistence allowance
  - Laboratory fee, equipment rental, testing, consumables, printing expenses
  - Honorarium for host researcher (for local research attachment)
  - Medical insurance and incidental epenses (for foreign research attachment)
- Laboratory and Equipment Research Grant
  - Outright research subsidy up to Php250,000 to cover testing and analyses fees.
- Presentation of Scientific Outputs in Conferences, Symposia, Fora and Seminars
  - Registration/Conference fee
  - Actual travel expenses (most direct and economical route)
  - Daily subsistence allowance

- Incidental expenses for foreign
- e. Publication of Scientific/Research Papers in Scientific/Technical Journals
- Registration/Publication fee
- f. Conduct of Conferences, Seminars and Workshops (Maximum grant: PhP200,000)
- Honoraria of speakers based on DOST Guidelines (TOR required)
  - Transportation of speakers and local participants
  - Accommodation of speakers and local participants
  - Supplies and materials for the preparation of course materials
  - Printing of proceedings
  - Printing of promotional and informational materials
  - Communication expenses
  - Subscription expenses for online platform, such as zoom, etc.
- g. Researchers on Industry, Energy Emerging Technologies - Opening Opportunities for Learning (RIEETOOL)
- Attendance to Trainings
- Registration/Conference fee
  - Actual travel expenses (most direct and economical route)
  - Daily subsistence allowance
  - Incidental expenses for foreign
- Conduct of Group Trainings (Maximum grant: PhP500,000)
- Honoraria of speakers based on DOST Guidelines (TOR required)
  - Transportation of speakers and local participants
  - Accommodation of speakers and local participants
  - Supplies and materials for the preparation of training materials
  - Printing of proceedings or training materials
  - Printing of promotional and informational materials
  - Communication expenses
  - Subscription expenses for online platform, such as zoom, etc.
  - Representation expenses (for meals during the training)
- h. Providing Resources, Opportunities and Support for Project-based Personnel and Researchers (PROSPPER)
- Tuition and other school fees
  - Monthly stipend
  - Book allowance
  - Transportation allowance
  - Group insurance
  - Thesis/Dissertation allowance
- i. Balik Saliksik Program (Maximum grant: PhP2M)
- Research grant (same as R&D)

GODDESS (Maximum grant of PhP1M)

- Honoraria for the project team
- Communication expenses
- Subscription expenses
- Other expense items under NGAS deemed necessary for the implementation of the project
- Equipment, as needed

RRI (Maximum grant of PhP1M)

- Research grant (same as R&D)

VII. Project Summary

- Executive Summary - Discuss the details of your request/proposal.
- Introduction - Briefly discuss below the details of the project or proposal, research paper for publication or presentation.
  - Rationale/Significance
  - Objectives
  - Methodology
  - Expected outputs (6Ps)
  - Potential outcomes
  - Potential impacts
  - Target beneficiaries
  - Sustainability plan
  - Literature cited
- Workplan
- Project Management

**2) Supplementary Files**

These include related information on the proposed program/project like, resumes or curriculum vitae, support letters from relevant agencies/organizations, market supply/demand projections, etc. Below are explanations of required information, which should be attached in the proposal. These will also be submitted through the e-Proposals portal.

- 3) DOST Proposal Form – Non R&D format
- 4) Supplementary Files
  - a. Letter of intent/request
  - b. Endorsement from Head of Agency and/or University enrolled in
  - c. Application form (Annex A)

Additional documents per component (Select Supplementary Files)

**IDP**

- Five-year research agenda/strategic plan or equivalent
- List of existing faculty/laboratory personnel and their specialization
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  - List of Project Team and involvement in the project
  - Letter of commitment from cooperating partner/
- k. RRI
  - Letter of commitment from cooperating partner

## How we will assess your application

### **A. Review and Selection Process**

Proposals shall be evaluated according to a set of criteria for each level of evaluation: Division Level, PCIEERD Management Team (PMT) level, Technical Panel (TP) level, Governing Council (GC) level. Applicants should directly and explicitly address these criteria as part of their proposal submission.

Only applications/proposals from Eligible Entities that meet all of the Eligibility/Qualification Requirements as stipulated in the ***PCIEERD Administrative Order No. 2021-001 “Revised Guidelines in the Implementation of the PCIEERD Human Resources Development Program,” PCIEERD Administrative Order No. 2016-028 “PCIEERD Guidelines in the Implementation of the Infrastructure Development Program,” PCIEERD Administrative Order No. 2020-002 “Guidelines on the Implementation of the GODDESS Program” and DOST Guidelines for the Grants-in-aid Program of Department of Science and Technology and its Agencies.***

Criteria for PCIEERD-GIA Program funding:

#### 1. Scientific Merit

Contribution to the advancement of knowledge and understanding in the field of Science & Technology.

The research is at par with the existing studies; cutting edge; world class research.

Sound scientific basis to generate new knowledge/innovative technology.

Will contribute to the enhancement/development of skills and expertise in the field/discipline.

## 2. Methodology

The procedures are clear, well-organized, well-described and based on a sound rationale.

The proposed methods and results are valid, replicable and reliable.

The proposed activities are reasonable to attain its expected outputs.

## 3. Financial Soundness

The proposed budget is reasonable in the conduct of the research. Expense items sought are appropriate and necessary.

There are adequate counterpart resources available (e.g. expertise, facilities) to carry out the research.

## 4. Timeframe

The duration of the project and its activities are reasonable.

The workplan is doable in a given timeframe.

The risk management plan was established to avoid delays in the project implementation.

## 5. Environmental Impact

The project will not pose a significant adverse effect on the environment and/or public health.

Will improve environmental conditions using environment-friendly /clean process.

## 6. Other Issues

Compliance to regulatory requirements necessary in the conduct of research.

Ethical issues (i.e. do not harm, informed consent, voluntary participation, privacy, anonymity, confidentiality) are properly addressed.

## 7. Sustainability

The likelihood that institutional, financial, and other resources are sufficient to sustain the project's outcome in a sustainable way. Also, there are potential partners and techno-takers to be involved in the project.

- Research utilization plan under methodology
- Sustainability or business plan particularly those with market potential
- Letter of undertaking / commitment specifying roles/responsibilities and counterpart funding from potential partners, target users or techno-takers

## 8. Socio-economic Impact

Potential of project to create/provide/generate employment.

Potential to Increase income and productivity

Ability to address any current/pressing national problem, among others, are specified and quantified

## 9. Marketability

Determine current and potential market demand  
Has identified specific/potential end-users  
Explore/Create new markets for the resulting product/process/service are specified  
Advantage over existing products/services in the market  
Potential adoption/use of the industry (manufacturer) and other partners

#### 10. Plans for Research/Project Results Utilization

Plans on how R&D results will be used by the potential end user/s or adopters are defined

Addresses strategic needs/value

Sustainability plan for the resources generated and capacity built from the project

#### Additional Criteria for DOST-GIA Program Funding:

##### 1. Relevance

Aligned to national S&T priorities, strategic relevance to national development and sensitivity to Philippine political context, culture, tradition and gender and development.

##### 2. Readiness and Chance of Success

Sound scientific basis to generate new knowledge or apply existing knowledge in an innovative manner.

##### 3. Benefit-to-Cost

Provides a systematic approach to estimating the strengths and weaknesses of alternatives used to determine options which provide the best approach to achieving benefits while preserving savings (for example, in transactions, activities, and functional requirements).

##### 4. Partnership and Collaboration

Promotes partnership and collaboration supported by a letter of undertaking / commitment specifying roles/ responsibilities and counterpart funding from potential partners, target users or techno-takers; Complements completed or existing initiatives related to the proposal

##### 5. Potential Impact

Potential impact of the project in terms of socio-economic, academic, policy, environment and health.

- Socio-economic potential
- Environmental impact assessment, if necessary
- Clearance from the institution's ethics review board/ committee for research involving human and animal subjects, if applicable.
- Clearance from the DOST Biosafety Committee for research done involving the use of GMOs under contained use.

## **B. Other Factors**

The Approving Authorities, the GC and/or EXECOM, based on the rankings and preliminary recommendation of the PMT, will make final funding decisions. The Approving Authorities may also consider programmatic priorities and geographic diversity of grants.

## Contact

DOST-PCIEERD Project Managers are available to provide appropriate assistance to potential applicants interested in competing for this Call for Proposals. This may include assistance to potential applicants in determining eligibility of the applicant or the applicant's proposed project for funding, questions about administrative issues relating to the submission of a proposal, and clarifications on the announcement.

Contacts:

### **Human Resource and Institution Development Sector:**

Dr. Ruby Raterta [ruby.raterta@pcieerd.dost.gov.ph](mailto:ruby.raterta@pcieerd.dost.gov.ph)

IDP

Ms. Roxanne Delos Reyes [roxanne.delosreyes@pcieerd.dost.gov.ph](mailto:roxanne.delosreyes@pcieerd.dost.gov.ph)

HRDP

Ms. Marie Christie Santos [mbsantos@pcieerd.dost.gov.ph](mailto:mbsantos@pcieerd.dost.gov.ph)

GODDESS

Engr. Lynnel Olpot [lynnel.olpot@pcieerd.dost.gov.ph](mailto:lynnel.olpot@pcieerd.dost.gov.ph)

RRI

Mr. Ruel Pili [rapili@pcieerd.dost.gov.ph](mailto:rapili@pcieerd.dost.gov.ph)

For **general or inquiries related to the Call Guidelines**, the applicant may contact:

Ms. Grace F. Estillore, Policy Coordination Division

[gfestillore@pcieerd.dost.gov.ph](mailto:gfestillore@pcieerd.dost.gov.ph)

## Additional information

[PCIEERD Eligibility Criteria Checklist](#)

[PCIEERD Technical Panel \(TP\) Evaluation Criteria/Score sheet](#)

[PCIEERD Management Team \(PMT\) Evaluation Criteria/Score sheet](#)

[PCIEERD Governing Council \(GC\) Criteria/Score sheet](#)

## List any supporting documents you will provide

References for PCIEERD-supported projects:

<http://projects.pcieerd.dost.gov.ph/>

<https://pcieerd.dost.gov.ph/library/annual-reports>

<https://pcieerd.dost.gov.ph/supported-programs-projects/supported-programs-and-projects/on-going-projects>

<https://pcieerd.dost.gov.ph/supported-programs-projects/supported-programs-and-projects/completed-projects>

PCIEERD Administrative Order No. 2021-001 “Revised Guidelines in the Implementation of the PCIEERD Human Resources Development Program,”

PCIEERD Administrative Order No. 2016-028 “PCIEERD Guidelines in the Implementation of the Infrastructure Development Program,”

PCIEERD Administrative Order No. 2020-002 “Guidelines on the Implementation of the GODDESS Program”

## List any related content links

AO 011 series of 2020 Revised Guidelines for the [Grants-in-aid Program of the Department of Science and Technology and its Agencies](#)

PCIEERD Sectoral Roadmaps: <https://pcieerd.dost.gov.ph/library/road-maps>

PCIEERD Administrative Order No. 2021-001 “Revised Guidelines in the Implementation of the PCIEERD Human Resources Development Program,”

PCIEERD Administrative Order No. 2016-028 “PCIEERD Guidelines in the Implementation of the Infrastructure Development Program,”

PCIEERD Administrative Order No. 2020-002 “Guidelines on the Implementation of the GODDESS Program”